



**US Agency for International Development (USAID)
 Initial Environmental Examination (IEE)/Domestic NEPA Action and/or Request for Categorical
 Exclusion Facesheet**

Program/Project/Activity Data:

Activity/Project Title: Strengthening Revenue Mobilization, Budgeting and Financial Accountability, Government Debt and Infrastructure Finance, and Banking and Financial Services / Objective 4: Economic Growth; Area 4.1: Macro Foundation, Element 4.1.1: Fiscal Policy		Solicitation #: N/A
Contract/Award Number (if known):		
Geographic Location : Global		
Originating Bureau/Office: Bureau for Economic Growth, Education, and Environment		
Supplemental IEE: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	DCN and date of Original document:	
Amendment: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	DCN and ECD link(s) of Amendment(s):	
Programmatic IEE: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Amendment No.:	
Funding Amount: \$2,000,000	Life of Project Amount: \$2,000,000	
Implementation Start/End: FY 2017-FY 2022		
Prepared By: Anton Kamenov, Economist	Date Prepared: 11/17/2016	
Expiration Date (if any):	Reporting due dates (if any):	
Environmental Media and/or Human Health Potentially Impacted (check all that apply): None <input checked="" type="checkbox"/> Air <input type="checkbox"/> Water <input type="checkbox"/> Land <input type="checkbox"/> Biodiversity <input type="checkbox"/> Human Health <input type="checkbox"/> Other <input type="checkbox"/>		
Recommended Threshold Determination:		
<input type="checkbox"/> Negative Determination <input type="checkbox"/> with conditions	<input type="checkbox"/> Deferral	
<input checked="" type="checkbox"/> Categorical Exclusion	<input type="checkbox"/> Exemption	
<input type="checkbox"/> Positive Determination	<input type="checkbox"/> USG Domestic NEPA action	

Climate Change:

GCC/Adaption GCC/Mitigation Climate Change Vulnerability Analysis (included)

Adaptation/Mitigation Measures: The Recipient Agency must conduct climate risk management in carrying out the program. The Recipient Agency may follow its own policies and guidelines on climate risk management, or USAID's guidance, as set forth in ADS Chapter 201. Prior to program implementation, the Recipient Agency must provide its climate risk assessment (including how climate risk is addressed in the program) for the program in writing to the USAID Program Manager. USAID will use the assessment to comply with applicable reporting requirements on climate resilience in development programs.

**INITIAL ENVIRONMENTAL EXAMINATION
and
REQUEST FOR CATEGORICAL EXCLUSION**

PROGRAM/ACTIVITY DATA

IEE Number: TBD

Program/Project Title: Treasury Domestic Revenue Mobilization

Program/Project Number: TBD

Project Country(ies): Worldwide

Funding Period: FY 2017 – FY 2019

Life of Activity Funding: \$2,000,000

IEE Amendment Yes ___ No X If yes, date of original IEE: _____

IEE Prepared by: Anton Kamenov, E3/EP
Name, Office

11/16/2016
Date

IEE expires: *2 years after signature of initial agreement or any change in funding, scope, scale or locations.*

ENVIRONMENTAL ACTION RECOMMENDED (check all that apply):

Categorical Exclusion X Negative Determination _____
Positive Determination _____ Negative Determination w/ Conditions Deferral _____

SUMMARY OF FINDINGS AND RECOMMENDED THRESHOLD DECISION

The subject program has the goal of improving domestic revenue in selected partner countries where:

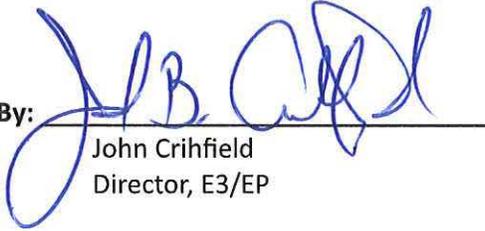
1. The authorities are poised to undertake institutional and systemic measures to attain higher revenues through improved policy and administration;
2. Key improvements in business practices, technology, and administrative capacity will help partner-country governments achieve efficiency and effectiveness in these areas; and
3. Partner-country leaders have demonstrated a credible, long-term commitment to achieving greater self-sufficiency in the funding of public services that are priorities of the overall U.S. foreign assistance program.

To provide timely and effective assessment, design, development, and implementation of U.S. assistance to these areas in partner countries, USAID wishes to partner with the U.S. Treasury's Office of Technical Assistance (OTA). Several of the candidate countries for new activities under the program are ones where OTA is already actively delivering technical assistance on tax and customs matters or has done so in the recent past. OTA can contribute technical knowledge and valuable experience to these tasks.

Under this Agreement, OTA will provide services, reports, and other deliverables to include technical

assistance and training of host-country decision-makers. Assistance through this Agreement supports E3/EP in carrying out its mission. Commodity procurement will be limited mainly to computers, notebooks, mobile phones, and other equipment necessary for analysis, research, and surveys. As currently planned, the proposed interventions will not have a direct effect on the environment. Pursuant to USAID authority under 22CFR 216, Section 216.2 (c), a Categorical Exclusion is recommended.

Recommended By:



John Crihfield
Director, E3/EP

11/30/2016
Date

Concurrence:



Teresa Bernhard
E3 Bureau Environmental Officer

12/4/16
Date

Approved: _____
Disapproved: _____

REQUEST FOR CATEGORICAL EXCLUSION

PROGRAM/ACTIVITY DATA:

Program/Project Title: Treasury Domestic Revenue Mobilization

Program/Project Number: TBD

Project Country(ies): Global

Funding Period: FY 2017 – FY 2019

Life of Activity Funding: \$2,000,000

IEE Amendment Yes ___ No X **If yes, date of original IEE:** _____

IEE Prepared by: Anton Kamenov, E3/EP
Name, Office

11/16/2016
Date

1. PROJECT GOAL, PURPOSE AND OBJECTIVES

The goal of this activity is to design, develop, and assist with the implementation of bilateral commitments with selected USAID and Treasury partner countries that will increase the amount of domestic revenue that is mobilized to fund effective national programs in priority sectors.

To achieve this goal, USAID and Treasury will partner in the various stages of the project life cycle, including: conducting assessments; designing and developing prospective projects; and implementing such projects in tax policy and administration. Several candidate countries are ones where the U.S. Treasury's Office of Technical Assistance (OTA) already has active programs of assistance underway or has provided such assistance in the recent past.

The proposed USAID interagency transfer to the U.S. Treasury OTA will enable OTA to deliver technical assistance to developing and transition countries to strengthen their ability to mobilize domestic revenue. OTA's cadre of highly experienced technical advisors work side-by-side with counterparts in finance ministries, revenue authorities, and other public sector financial institutions. Assistance may be provided on a sustained basis by resident advisors, or by intermittent advisors who travel overseas for short term assignments..

The type of assistance that will be generated under this contract includes the following:

Illustrative Activity	Impact/Risks	Climate Impact	Significance (high, moderate, low)	Condition / Adaptation Action	Determination	Opportunities
Design tools, such as instructions or frequently asked questions, that revenue authorities can use to assist taxpayers with their tax filings.	None	None	Low	None	None	There is an opportunity to move away from paper based instructions to online instructions.
Develop risk-based taxpayer compliance strategies that allow revenue authorities to direct resources where the risk of public revenue losses is highest	None	None	Low	None	None	None

2. JUSTIFICATION FOR THE CATEGORICAL EXCLUSION REQUEST

Pursuant to USAID authority under 22CFR 216, this proposed program qualifies for a categorical exclusion under Section 216.2(c)(2)(i), as the project activities do not have a serious adverse effect on the natural or physical environment, and as justified by the following sections:

- Section 216.2 (c) (2) (i), education, technical assistance, or training programs except to the extent such programs include activities directly affecting the environment (such as the construction of facilities);
- Section 216.2 (c) (2) (iii), analyses, studies, academic or research workshops and meetings; Section 216.2 (c) (2) (v), document and information transfers; and
- Section 216.2 (c) (2) (xiv), studies, projects or programs intended to develop the capability of recipient countries to engage in development planning, except to the extent designed to result in activities directly affecting the environment.

If, during implementation, activities are considered other than those described in the subject categorical exclusions, such as the use or procurement of pesticides, construction or rehabilitation, or any activity related to those in Section 216.2(d)(1), an amendment Request for Categorical Exclusion or IEE shall be submitted, as appropriate. Since the U.S. Department of the Treasury does not have requirements for environmental or social impact assessment associated with their internal projects, the USAID AOR must submit, to the E3 BEO, a description of activities prior to the implementation of those activities.